

shield
**client
connect**
BOSTON 2025



Shield Product Roadmap

2025

[VIEW AGENDA](#)



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Shield Engage

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Recap – 2025 Engage Releases

Applicant User Experience	FI User Experience	Configuration Controls	Underwriting
Favicon branding	Default User Permissions Edit License Status	Master Tenant Create User Without Permissions	New Neg News Provider – Thomson Reuters Ultimate Beneficial Ownership Clear ID / Risk Inform (Thomson Reuters) USPS Address Verification New SBL Validation Source – Open Corporates

Q4 – 2025 Engage Enhancements

DocuSign

MSB 3rd
party license
validation

DocuSign Integration

Accept

Complete
and Sign

DocuSign

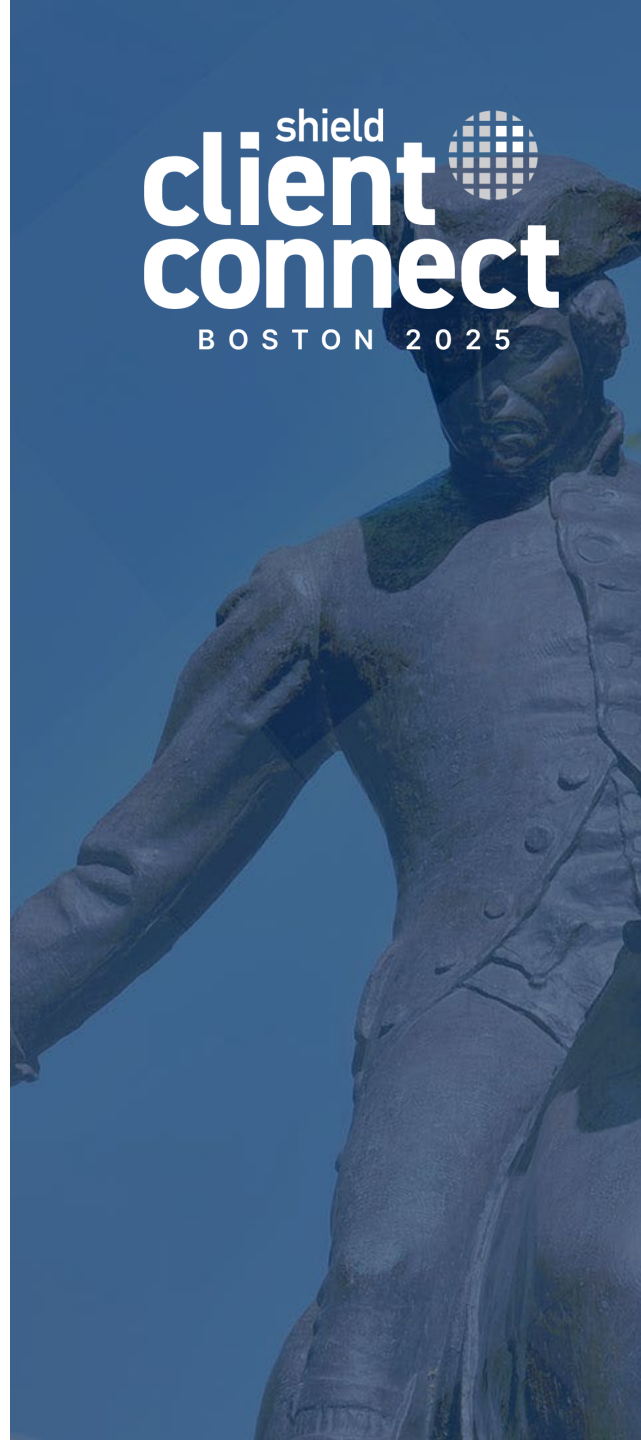
Append
and Sign

DocuSign Phase 2.5 Uses

- **Beneficial Ownership**

- If you need to have a Beneficial Ownership Certification completed during the application process.
- Standard Beneficial Ownership Form
- Prefilled with Ultimate Beneficial Ownership as calculated in the application.
- Will be sent for signature based on configuration.

Footer



Money Services Business

- **Money Services Business**
 - Current Functionality
 - **Engage** - Non-Cannabis Template
 - Omit Cannabis License page (template configuration)
 - Request copies of licensing for FinCEN, NMLS, State, etc.
 - Manual validation of licensing with public sources.
 - **Assure** - Include in daily files for ongoing monitoring in Assure.
 - License tracking (FinCEN, State, etc.)
 - Manual validation of licensing

Upcoming Features - MSB

- **Money Services Business**
 - Phase 2 (year end 2025)
 - Engage - MSB Template Type
 - New page for entry of licensing
 - Automatic Validation (FinCEN/State Licensing)

Application Templates

All entities [default]	Beneficial ownership-exempt [default]	Single primary entity [default]
☐ Omit requests for child entities from ownership structure documentation	☐ Omit requests for child entities from ownership structure documentation	☒ Omit requests for downstream entities from ownership structure documentation
☐ Omit requests for parent entities from ownership structure documentation	☒ Omit requests for parent entities from ownership structure documentation	☐ Omit requests for upstream entities from ownership structure documentation
☒ Should show authorized signer only on root entity	☒ Should show authorized signer only on root entity	☒ Should show authorized signer only on root entity
☐ Limit products and services to root entity only	☐ Limit products and services to root entity only	☒ Limit products and services to root entity only
☐ Omit cannabis licenses	☐ Omit cannabis licenses	☐ Omit cannabis licenses
☐ Request Money Services Business	☐ Request Money Services Business	☐ Request Money Services Business

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Shield Assure

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Recap – 2025 Assure Releases

Monitoring



- ☐ New high-risk industry support – hemp, digital assets and MSBs.
- ☐ Justification for marking /removing SAR reportable flag

Compliance



- ☐ SAR Optional configuration – Field 27
- ☐ SAR Optional configuration – Field 24
- ☐ SAR Update – Fields 66 and 88
- ☐ Require License Copy

User Experience



- ☐ Update Case Due Dates
- ☐ Notifications sent to Client Users
- ☐ Separated state business license and industry license containers
- ☐ New fields for Expected Tax Rate and Expected Unlicensed Sales

Third Party Data



- ☐ BioTrack Direct Connect
- ☐ Thomson Reuters Negative News
- ☐ Open Corporates – State Bus. License (Oct. 2025)

Q4 – 2025 Assure Enhancements

MSB 3rd party
license
validation

SAR Approval
Automation

Hide Vendor
Management
in Client Portal

MSB License Validation



- FinCEN License
- NMLS Data

MSB - FinCEN

MSB Registration Number/DCN	DBA Name	Address	MSB Activities	States of MSB Activities	Verified	Edit
60473062462			401, 402	AL, NY	07/25/2025	

MSB - State

State	Type	Num...	Status	Issued	Expiration	Get Licen... Copy	Name / Address Information	Verified	Is Auth...	Edit
MI	Money Transmitter License	12345	Active: Active			☐		10/20/2025		

Add License(s)

Q1 – 2026 Assure Enhancements

Anticipated Tax /
Unlicensed Sales
Calculations

BioTrack
Wholesale Detail

Open Corporates
(Phase 2)

2026 - Q1 and Beyond - Assure Enhancements

Launch
Pad

Reporting

Feedback Forums



shield compliance

HomeKnowledge baseForumsTicketsSubmit a ticketJ

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Find some topics here...

Forums

Meet the community, share your experiences and queries with others

Post a topic

Shield Community (7)

Assure Feature Requests (11)

Monthly Sales Reconciliation - Additional Funds

Monthly Deposit Validation - Adjustment on a portion of the deposit

SAR review period

View all 11 topics

Best Practices - Ongoing Monitoring (1)

Indirect Accounts

Engage Feature Requests (1)

Reduce confusion between PEOPLE and ENTITIES in the ownership questions

Best Practices - Applications & Onboarding (0)