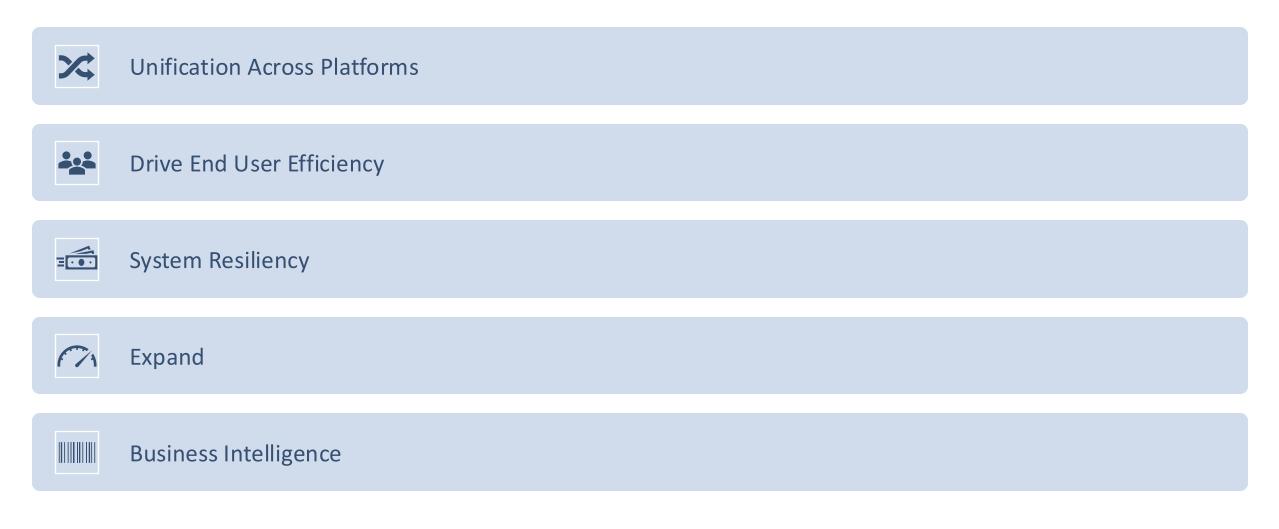


Shield Product Roadmap



Shield Themes for 2025





shield engage







Applicant User Experience	FI User Experience	Configuration Controls	Underwriting		
Disclosures & Remote Sign (Docusign)	Create from Existing	Master Tenant	Banker Attributes – add account number		
Location Features	Delay Send	Additional User Permissions	Update ownership with existing entity / individual		
Person as Entity Applicant	Entity Documents at Application Creation	Prevent application progression unless all to do list items complete	Delay notification to MRB for requested information		
Pending Applications	Non-Cannabis Application	not items compiete	Denied & Withdrawn Justification		
Update Primary Contact, ownership	Mask sensitive data for FI Users (permission based)		Negative News Active/Dismissed View		
	FI Users may cancel shares		Dynamic To Do list items may be added by application		
	Auto withdrawal applications		,		
Confidential and Department					

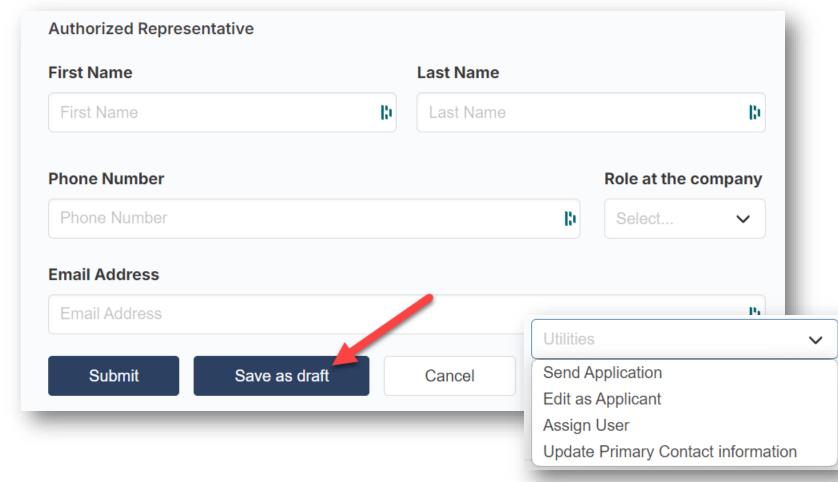
Confidential and Proprietary

Delay Send ("Save as Draft")

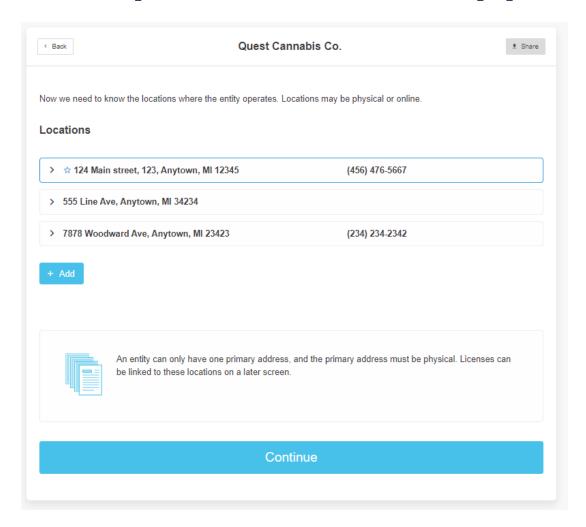
Allows FI user to pre-fill application

prior to sending





Multiple Location Support



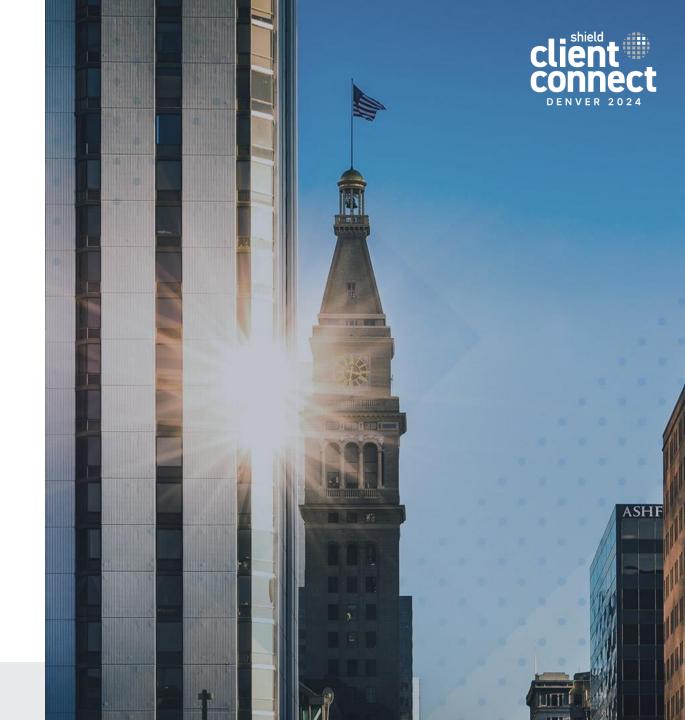


- Primary Address
- Multiple Addresses
 - Associate license with address
- Online address (website)

Cloned Applications

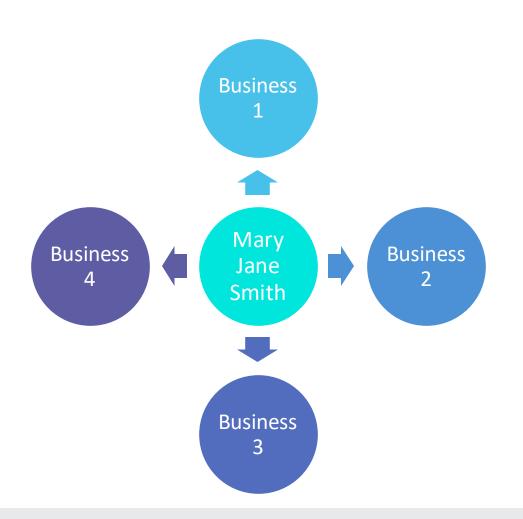
- Allows FI user to create a new application from a closed application
- Eliminate re-keying of data
- FI may set expiration dates on information / documentation





Person as Entity





- One application for entities unrelated to each other, only related by an individual
- Single application
 - Less data entry by MRB
 - Eliminate duplicate review by FI

Docusign



Disclosures

- Utilizes Shield license
- If DocuSign is enabled for the FI, all disclosures will need to utilize DocuSign for all disclosures. These can be included in one document or split into multiple disclosure pages.
- Signed Disclosures are visible in the FI view Documents tab and are included in the document stack

Remote Sign

- Configured utilizing the FI's DocuSign license
- Allows the MRB Primary Contact to send a document to one or many individuals for signatures via DocuSign.
 - These document(s) must be configured and included within the application workflow by Shield Support.

Signed disclosures are visible in the FI view Documents tab and are included in the document stack.

Configuration fee applies for initial configuration.



Q4-2024 Engage Enhancements



Compliancely TIN Validation

Negative News
-Thomson
Reuters

Disposition Reason Reporting



shield assure



Recap – 2024 Assure Releases

Platform Unification

- Engage to Assure Tenant
 Linking with Multi-Tenant
 Support
- Engage to Assure Client Profile Linking
- Engage as Data Source
- Related Party/Beneficial
 Owner Creation via
 Interactive Sync

Monitoring and Review

- New Case Quarterly Deposit Validation
- _ Accounting Upload Allow
- Simultaneous Use of Monthly and Quarterly
- Deposit Validation Allow
 Simultaneous Use of
 Monthly and Quarterly
- Deposit Validation Change Thresholds in Basis Points
- Deposit Validation Enhancements – Require Data for Case Generation
- Client Data Received Grid

User Experience

- **Deposit Validation**
- Enhancements Test Results
 History
- Client Update Report with Bulk Edit functionality
- Compliance Review
 Enhancements Created On
- and Completed On details
 - Manual Entry of Endorsement Expiration
- Dates When Not Provided by Source

Reporting

- New Case Unreported License
- Cases Reportable by Default
- SAR Transaction Detail Data Inclusion Enhancements



Q3-2024 Assure Enhancements



Quarterly Deposit Validation Change
Threshold
(QDV / MDV)

Data Received Container

Past Due Email Notifications

Client Update Report

Data Received

- Provides insight to required and received data based on cases generated and closed
- Available for data across multiple periods
- Visible at entity and household levels



Data Received June 2024 ✓ August 2024	•			
Client Name	Financial Period	Accounting Data	Sales Reconciliation Data	Trace Sales
Zenith Greens	August 2024	Missing	Not Required	Not Present
Zenith Greens	July 2024	Received - 08-27-2024	Not Required	Not Present
Zenith Greens	June 2024	Received - 08-27-2024	Not Required	Not Present

Client "Past Due Task" Notification

- Configurable on a per case type basis
- Allows for alerts to client users when cases become past due
- May be enabled through Shield Client Success





The Accounting Upload - Quarterly task for Amethyst Harris in the An MG Bank compliance portal is now PAST DUE. Click the "View Task" button below to login to view tasks and take action as soon as possible to remain in compliance.

View Task

Contact Us

Have questions or concerns? Feel free to reach out to us. Our office hours are 9am - 5pm

Call [+1%20(800)%20555-0000%20ext.%201]+1 (800) 555-0000 ext.

Email support@support.com

Visit our website

You've received this email because a task has been generated based on your relationship with An MG Bank.

An MG Bank, 2242 11th ave. Seattle, WA 98111

Please do not send physical mail, particularly mail containing sensitive information related to your application to the address above. Privacy & Security

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Client Update Report – with Bulk Edit



Client Update Description	
·	
A list of all active, monitored entities that can be bulk edited to update the risk rating, sales reconciliation, accounting required and the deposit / sales information.	
Client Update	• • •
Client Legal Name Number Household Risk Rating Require Sales Name Reconciliation Name Reconciliation Name Reconciliation Name Number Reconciliation Name Number Reconciliation Name Number Reconciliation Name Number Numbe	Last Updated Date
CRB Biz 1 001234 HH CRB 1 Medium 🗸 Quarterly November 2 Quarterly November 2 V 01/05/2 Distribu CA - 001234 Last Attes	06/20
CRB Biz 2 002234 HH CRB 2 High	08/11
CRB Biz 3 003234 HH CRB 3 Medium Monthly March 2023 Monthly March 2023 08/17/2 Proces WA - 003234 Last Attes	12/01
□ CRB Biz 4 004234 HH CRB 4 Low ✓ 10/09/2 Medica SD - 004234	07/22
CRB Biz 5 005234 HH CRB 5 Medium V Quarterly July 2024 Quarterly July 2024 V 07/15/2 Recreat OR - 005234 Last Attes	03/18
CRB Biz 6 006234 HH CRB 6 Medium ✓ 06/29/2 Tier III ME - Cargive	10/03
CRB Biz 7 007234 HH CRB 7 Medium Monthly August 2024 Quarterly August 2024 03/22/2 Medica AZ - 007234 Last Attes	05/29
1 to 7 of 25 K Page 1 of 1	> >I
Support for filtering and sorting allows	Next

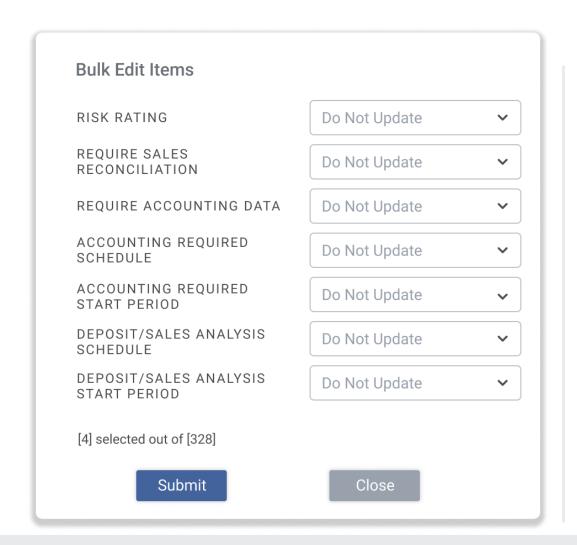
Support for filtering and sorting allows for updates by household, risk rating, or current settings

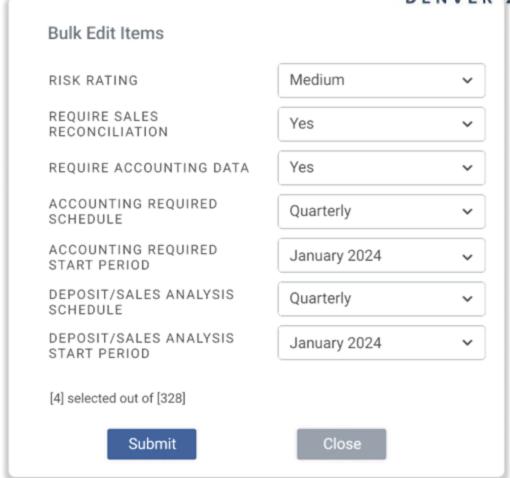
 Enables update of multiple client settings at once

Bulk Edit Items		
RISK RATING	Medium	~
REQUIRE SALES RECONCILIATION	Yes	~
REQUIRE ACCOUNTING DATA	Yes	~
ACCOUNTING REQUIRED SCHEDULE	Quarterly	~
ACCOUNTING REQUIRED START PERIOD	January 2024	~
DEPOSIT/SALES ANALYSIS SCHEDULE	Quarterly	~
DEPOSIT/SALES ANALYSIS START PERIOD	January 2024	~
[4] selected out of [328]		
Submit	Close	

Client Update Report Bulk Edit Modals







Q4-2024 Assure Enhancements

client connect

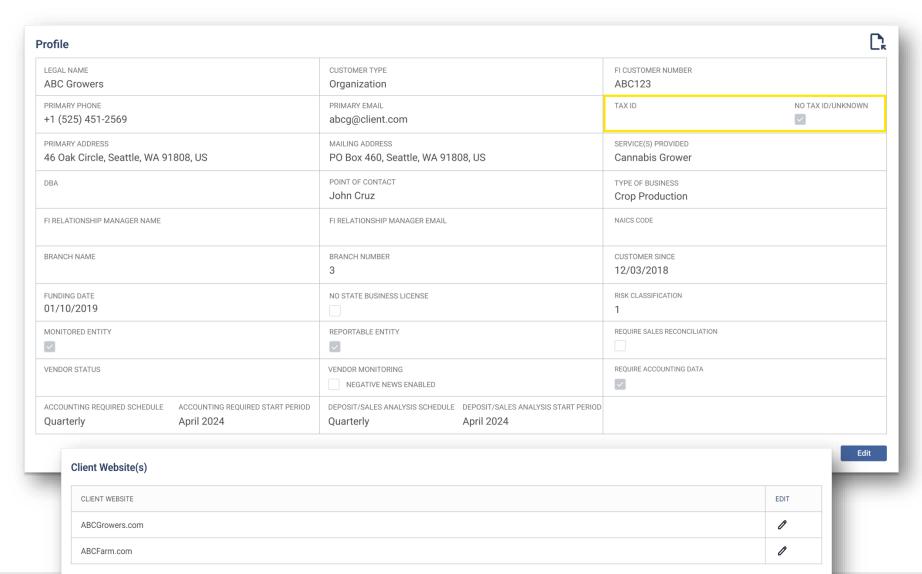
Clients without
TINs and TIN
Unknown
Reporting

Client Website
URLs and
Reporting

Deposit Validation History

BioTrack Direct
Connect

No/Unknown Tax ID And Client Website(s)

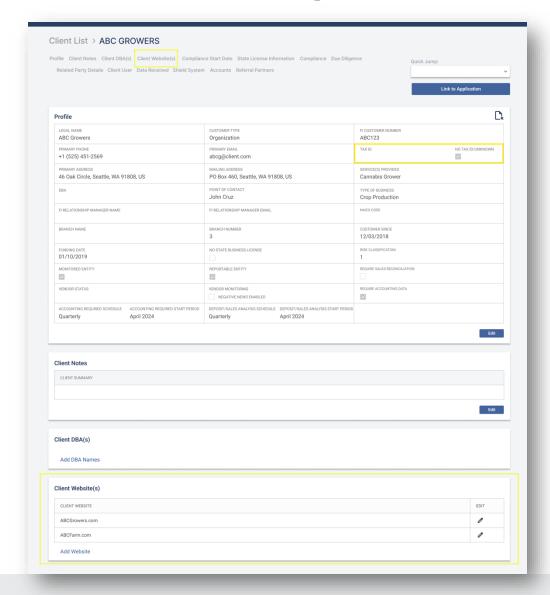


Add Website



 Includes support for inclusion of website URLs and the TIN Unknown indicator in reporting

TIN Unknown / Website – SAR view





*16 TIN	Unknown	17 TIN type
21 Phone number	0	9995551212 Ext. 2 20 Type Work
22 E-mail address	0	John@ABCGrowers.com
22a Website (URL) addr	ess 🕕 😑	ABCgrowers.com
22a Website (URL) addr	ess 🕕 😑	ABCFarm.com

Deposit Validation History



Quick Jump:

Client List > 1Green > Deposit Validation History

Deposit Validation History

Date of Evaluation	Household	Schedule Type	Financial Period	Case ID	Case Status	Overall Test Result	Acctg Data Req'd	Acctg Data Present	Acctg Data Req'd Changed	Acctg Data Present Changed	Sales Recon Data Req'd	Sales Recon Data Present	Sales Recon Data Req'd Changed	Sales Recon Data Present Changed	
04/10/2024	HH1	Monthly	March 20	010-12345	Ready	× Fail	No	Yes	No	No	No	No	No	No	
07/03/2024	HH1	Quarterly	April 202	010-12346	Ready	✓ Pass	Yes	Yes	Yes	Yes	No	Yes	No	No	
06/16/2024	HH1	Monthly	May 2024		Ready	- N/A	Yes	No	No	No	Yes	No	No	No	
07/10/2024	HH1	Monthly	June 202	010-12347	Open	× Fail	Yes	No	Yes	Yes	No	No	Yes	Yes	
03/02/2024	HH1	Monthly	February	010-12348	Ready	× Fail	No	No	Yes	Yes	No	Yes	Yes	Yes	
04/05/2024	HH1	Quarterly	January 2			- N/A	Yes	Yes	Yes	Yes	No	No	No	No	
11/03/2024	HH1	Quarterly	July 202	010-12349	Closed	✓ Pass	Yes	Yes	No	No	Yes	Yes	No	No	
											1 to 7 of 7 (

BioTrack Direct Connect



- Implementation of new direct data share with BioTrack for seedto-sale data
- Easier authorization for BioTrack data collection after updating Terms & Conditions
- Investigating potential for increased data detail with new connection

Q1-2025 Assure Enhancements



Negative News Thomson Reuters

Client Email Notification Configuration

Negative News – Thomson Reuters



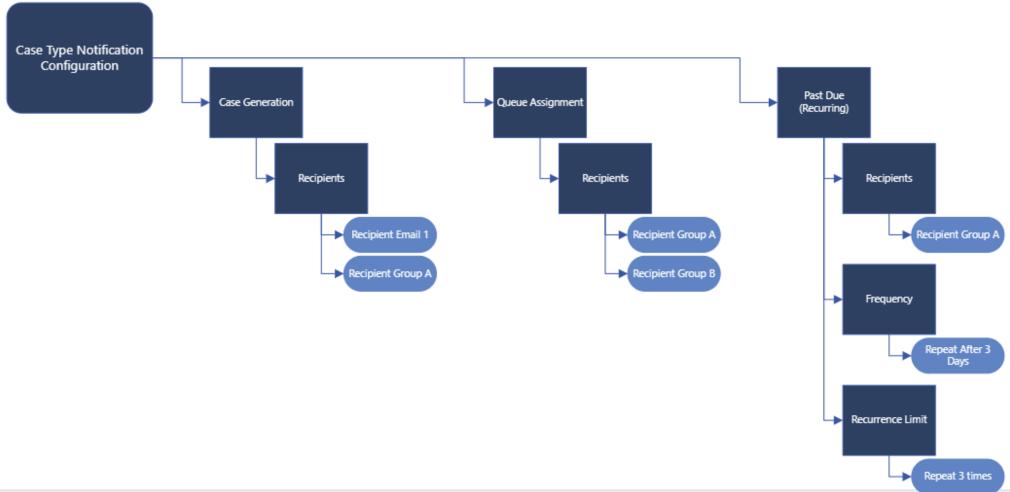
- Assure will be leveraging a new integration with Thomson Reuters utilizing the Adverse Media w/Sanctions service
- Results will continue to be presented through the existing Negative News case

Client Email Notification Enhancements

Configurable event-driven notifications with delivery options by user







Feedback Forums

